## Problem Set 3: Malčev completion and the Tannakian formalism

- 1. Let  $\Pi$  be a finitely generated *abelian* profinite group. Show that the  $\mathbb{Q}_p$ -Malčev completion of  $\Pi$  is the vector group associated to  $\mathbb{Q}_p \otimes_{\hat{\mathbb{Z}}} \Pi$ .
- 2. Let  $\Pi$  be a finitely generated profinite group, and let  $\Pi'$  be the largest torsion-free pro-p quotient of  $\Pi$ . Show that the natural map  $\Pi_{\mathbb{Q}_p} \to \Pi'_{\mathbb{Q}_p}$  on  $\mathbb{Q}_p$ -Malčev completions is an isomorphism.
- 3. Let  $\Pi$  be the profinite group

$$\Pi = \mathbb{Z}_2 \rtimes C_2$$
,

where in the semidirect product, the non-identity element of  $C_2$  acts on  $\mathbb{Z}_2$  by  $x \mapsto -x$ .

- (a) What is the abelianisation  $\Pi^{ab}$ ?
- (b) Using this, show that  $\Pi_{\mathbb{Q}_2} = 1$ .

(This shows that the Malčev completion functor is not exact, as the injective map  $\mathbb{Z}_2 \hookrightarrow \Pi$  does not remain injective after Malčev completing.)

- 4. Which of the following Q-linear abelian tensor categories are pre-Tannakian? And which are neutral Tannakian?
  - (a) Finite dimensional vector spaces V (over  $\mathbb{Q}$ ) with an automorphism  $\sigma_V$  (the tensor unit is  $\mathbf{1} = \mathbb{Q}$  with  $\sigma_{\mathbf{1}} = 1$ , and the tensor product is the  $\mathbb{Q}$ -linear tensor product with  $\sigma_{V_1 \otimes V_2} = \sigma_{V_1} \otimes \sigma_{V_2}$ ).
  - (b) Finite dimensional vector spaces V with an endomorphism  $\sigma$  (same tensor structure as above).
  - (c) Finite dimensional vector spaces V with an endomorphism  $\sigma$  (the tensor unit is  $\mathbf{1} = \mathbb{Q}$  with  $\sigma_{\mathbf{1}} = 0$ , and the tensor product is the  $\mathbb{Q}$ -linear tensor product with  $\sigma_{V_1 \otimes V_2} = \sigma_{V_1} \otimes 1_{V_2} + 1_{V_1} \otimes \sigma_{V_2}$ ).
  - (d) Finite dimensional graded vector spaces (with the usual tensor structure).
  - (e) Finite dimensional vector spaces V endowed with a finite decreasing filtration  $F^{\bullet}$  (with the usual tensor structure).
  - (f) Local systems on a disconnected topological space X.
  - (g) Vector spaces, possibly of infinite dimension.

5. In this exercise, we compute a simple example of a Tannakian fundamental group. Let  $\mathcal{T}$  denote the category of finite-dimensional F-vector spaces V endowed with a *nilpotent* endomorphism  $N=N_V\in \operatorname{End}(V)$ . The morphisms in  $\mathcal{T}$  are the F-linear maps which are compatible with the chosen endomorphisms. The category  $\mathcal{T}$  is an F-linear neutral Tannakian category, where the tensor product  $V_1\otimes V_2$  is the usual F-linear tensor product with endomorphism  $N_{V_1\otimes V_2}=N_{V_1}\otimes 1_{V_2}+1_{V_1}\otimes N_{N_v}$ . The tensor unit is  $\mathbf{1}=F$  with endomorphism  $N_1=0$ . The forgetful functor  $\omega\colon \mathcal{T}\to \mathbf{Vec}_F$  is a fibre functor.

Let  $\gamma \in \pi_1(\mathcal{T}; \omega)(F)$  be a  $\otimes$ -natural automorphism of  $\omega$ .

(a) Let  $V_1 \in \mathcal{T}$  be the 2-dimensional F-vector space with basis  $v_0, v_1$ , endowed with the endomorphism N defined by  $N(v_0) = v_1$  and  $N(v_1) = 0$ . Show that the action of  $\gamma$  on  $V_1$  is given by  $\gamma(v_0) = v_0 + \chi(\gamma)v_1$  and  $\gamma(v_1) = v_1$  for some  $\chi(\gamma) \in F$ . (Hint:  $V_1$  is an extension

$$0 \rightarrow \mathbf{1} \rightarrow V_1 \rightarrow \mathbf{1} \rightarrow 0$$
.)

- (b) Show that if  $V \in \mathcal{T}$  and if  $v \in V$  is a vector such that  $N^2(v) = 0$ , then there is a unique morphism  $V_1 \to V$  in  $\mathcal{T}$  taking  $v_0$  to v. Deduce that in this case  $\gamma(v) = v + \chi(\gamma)v$  where  $\chi(\gamma) \in F$  is the same as above.
- (c) Show more generally that if  $V \in \mathcal{T}$  and if  $v \in V$  is a vector such that  $N^{m+1}(v) = 0$ , then there is a (non-unique) morphism  $V_1^{\otimes m} \to V$  in  $\mathcal{T}$  taking  $v_0^{\otimes m}$  to v. (You should describe this map explicitly.) Deduce that in this case

$$\gamma(v) = \sum_{i=0}^{\infty} \frac{1}{i!} \chi(\gamma)^{i} N^{i}(v).$$

(The terms of the sum are zero for i > m.)

(d) Deduce that the map  $\chi \colon \pi_1(\mathcal{T};\omega)(F) \to F$  is an isomorphism of groups, where the group law on F is the additive group law. \*Show more generally that  $\chi$  defines an isomorphism

$$\pi_1(\mathcal{T};\omega) \xrightarrow{\sim} \mathbb{G}_a$$

of affine group-schemes over F.

6. Let F be a field and let  $\mathcal{T} = (\mathcal{T}, \otimes, \mathbf{1})$  be an F-linear abelian  $\otimes$ -category. Let E be an object of  $\mathcal{T}$  which admits a strong dual  $E^*$ . If  $E' \in \mathcal{T}$  is a second object, we define the *internal hom* by

$$\operatorname{Hom}(E, E') := E' \otimes E^* \in \mathcal{T}.$$

Show that for any third object  $E'' \in \mathcal{T}$  there is a canonical bijective correspondence between morphisms

$$E'' \otimes E \to E'$$
 and  $E'' \to \operatorname{Hom}(E, E')$ .